



Federal Motor Carrier Safety Administration



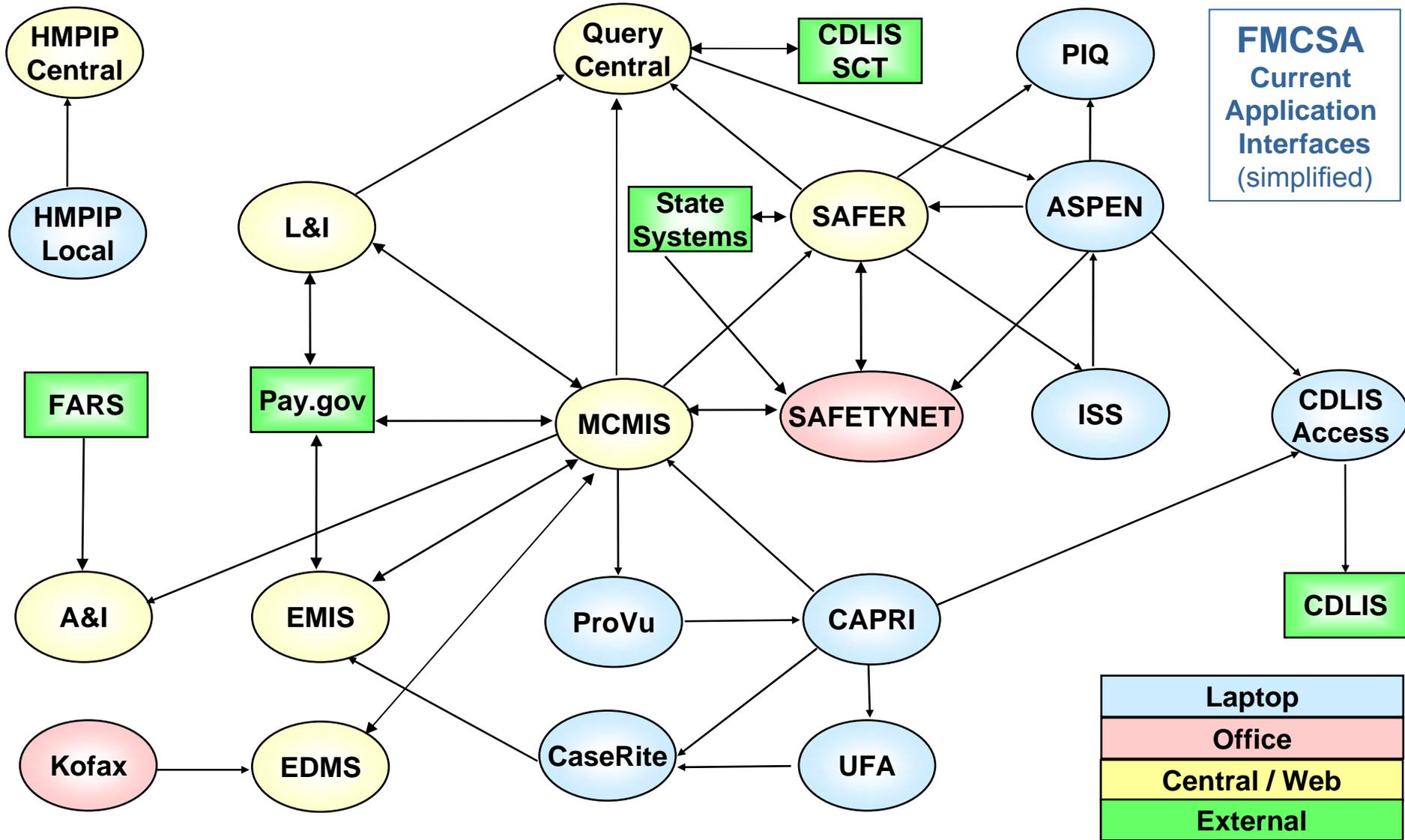
COMPASS

Service Center and Division Briefing

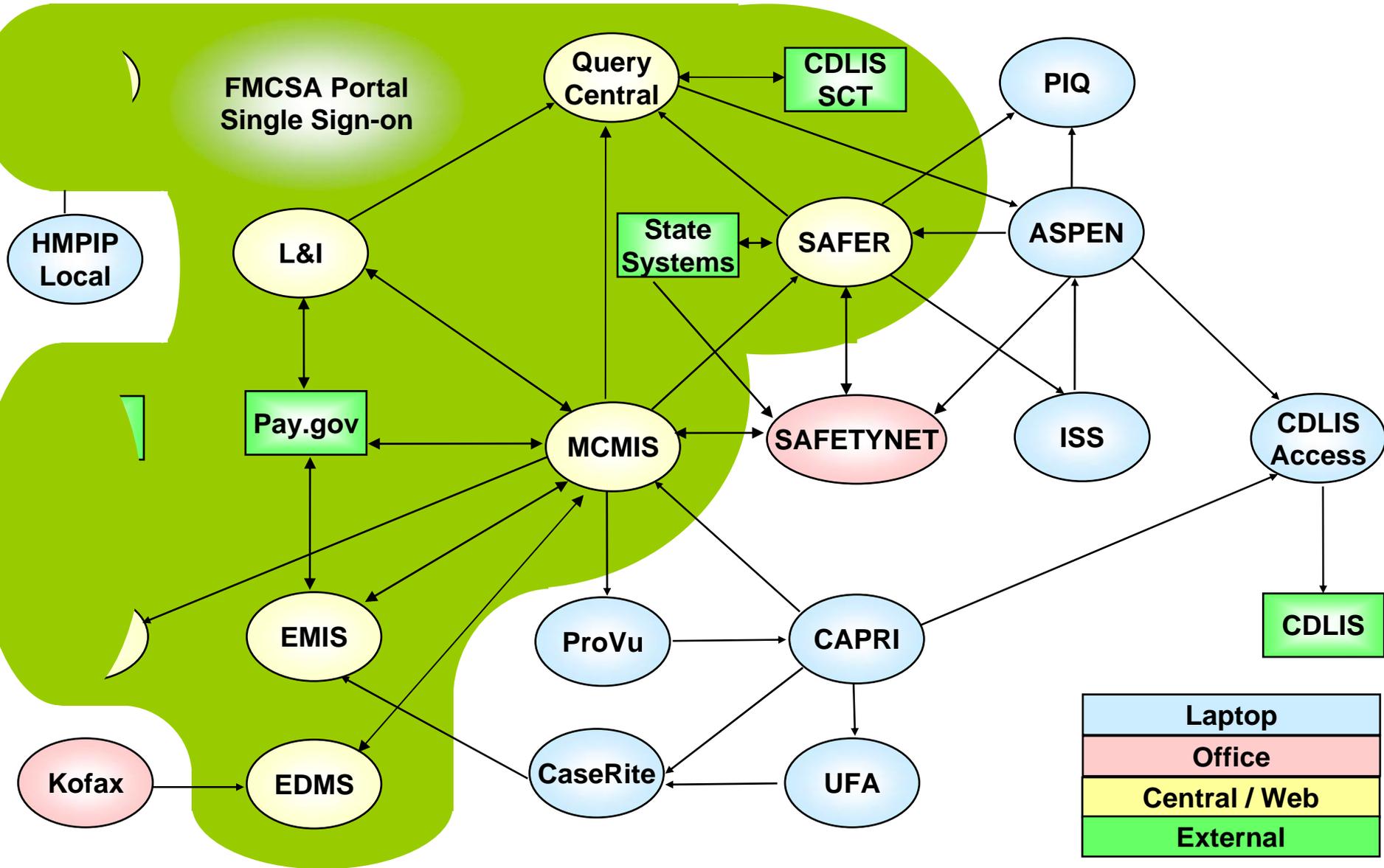
May 2007



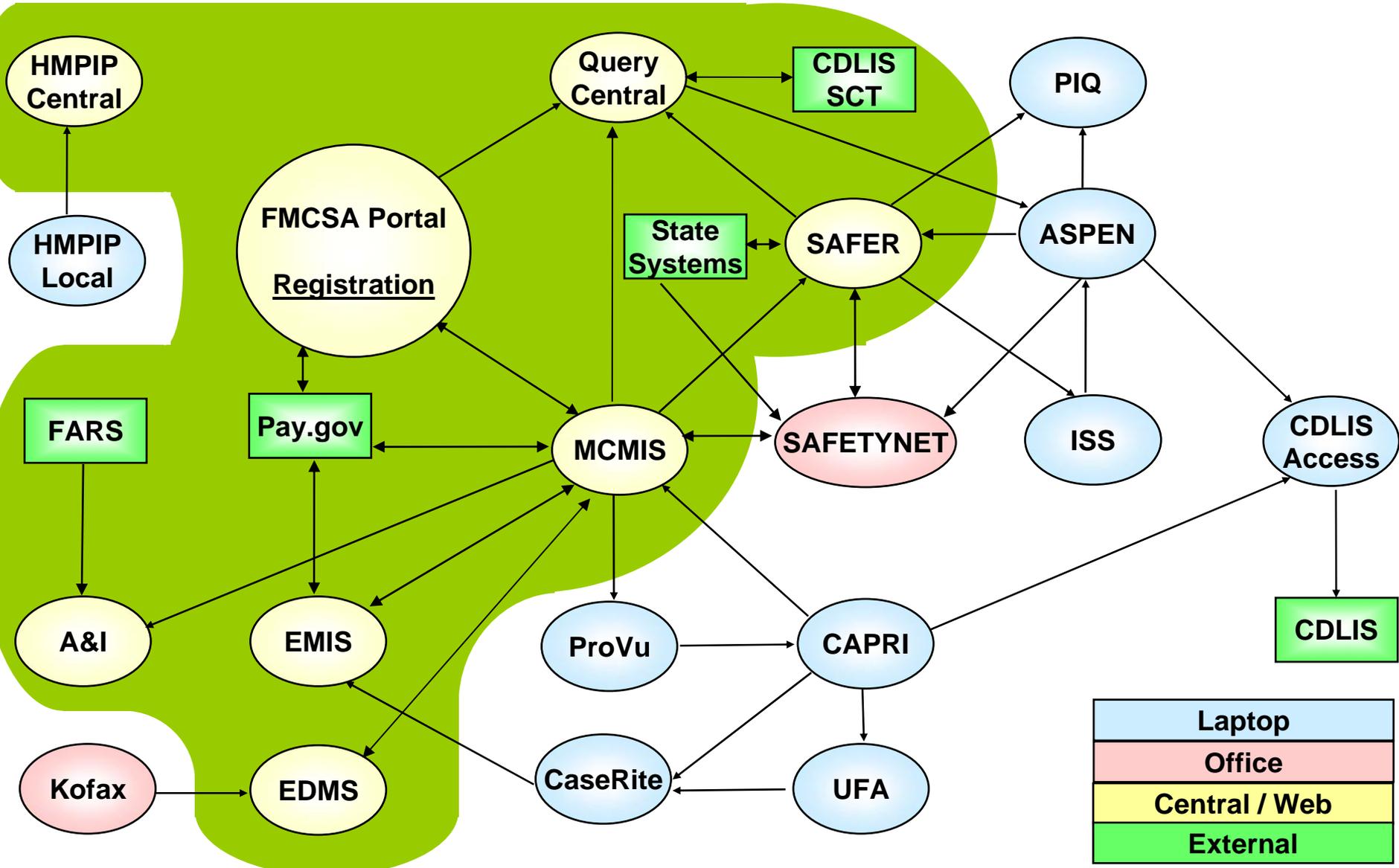
FMCSA's IT Systems Today



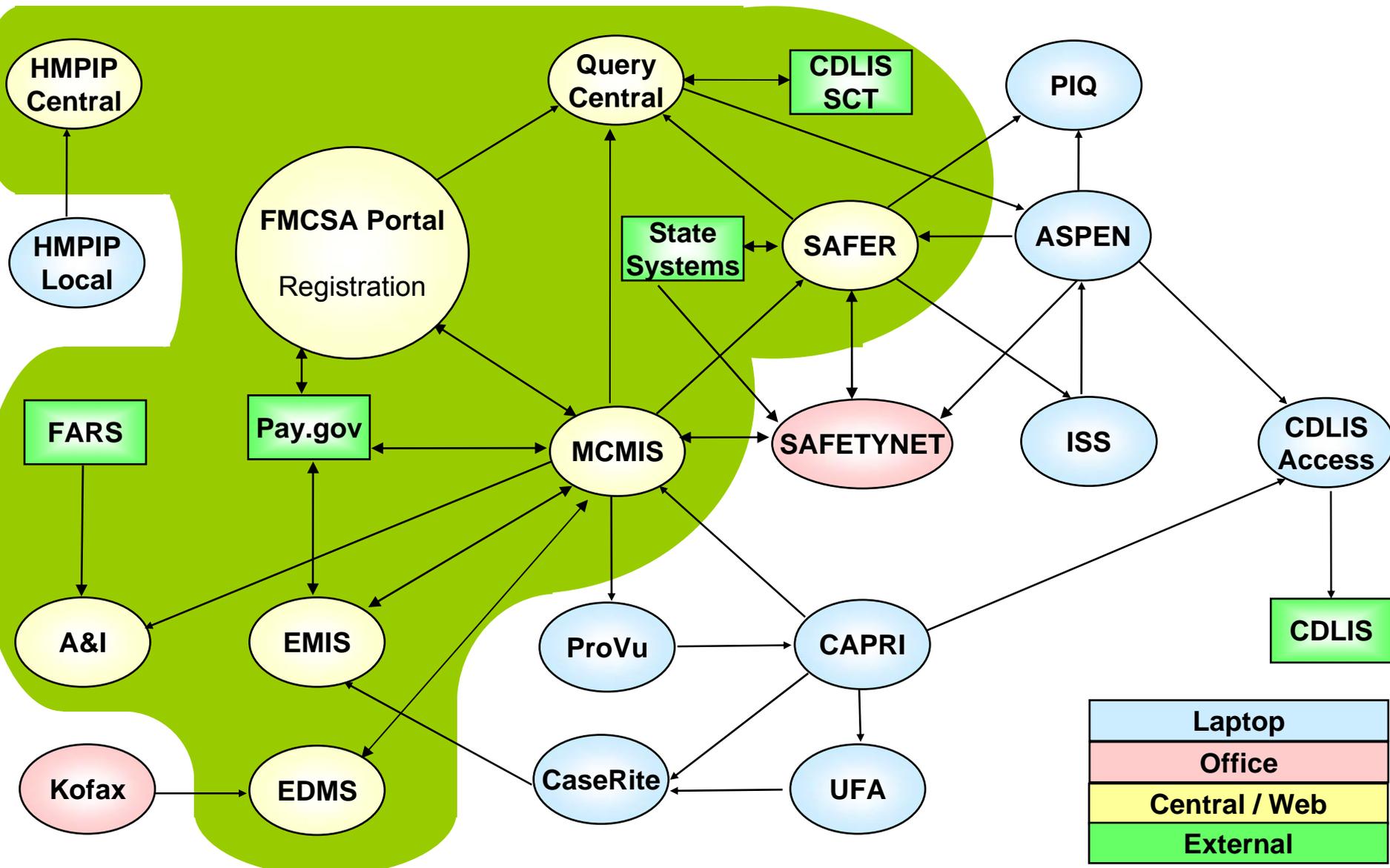
FMCSA's IT Systems In Transition – Portal SSO



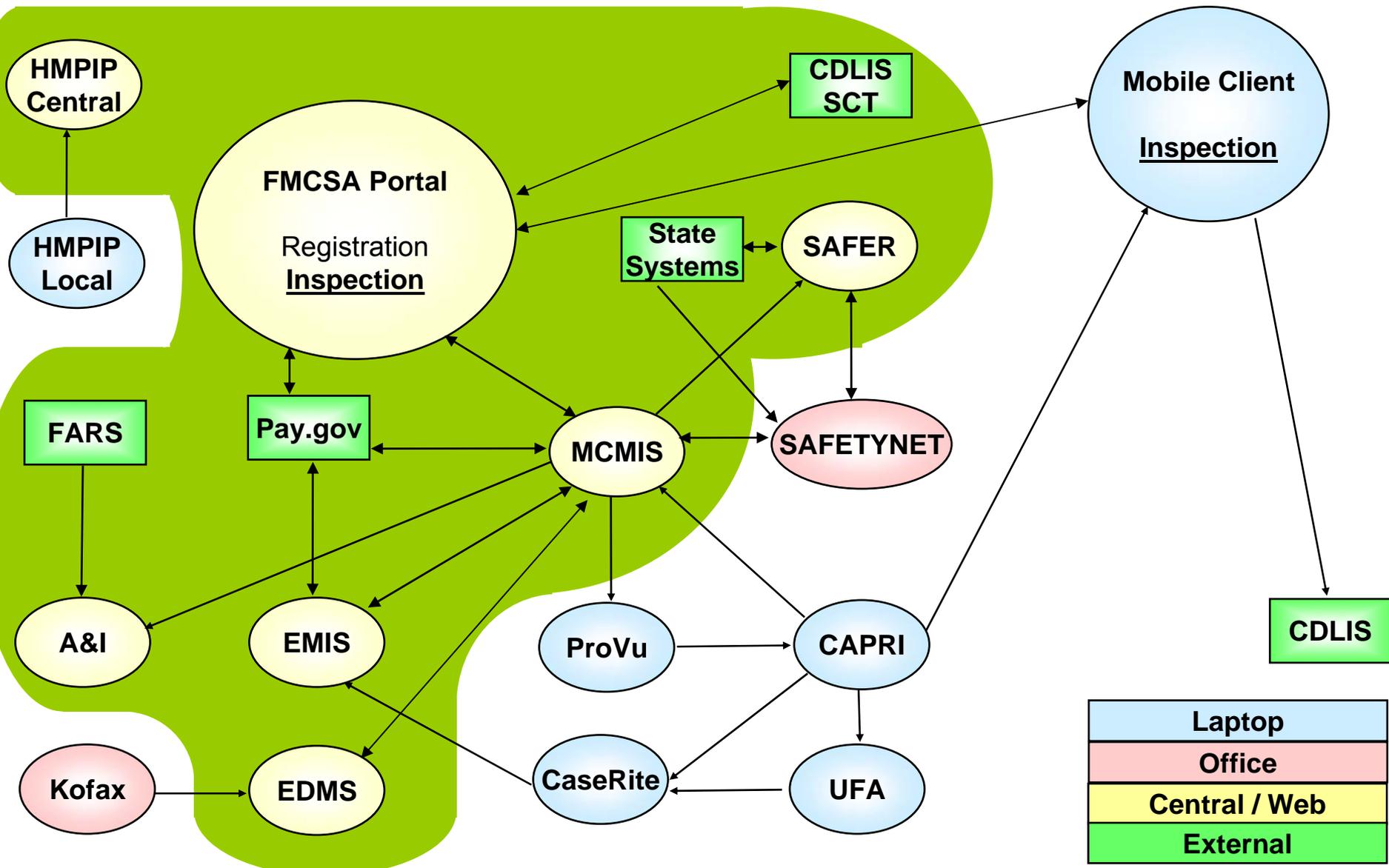
FMCSA's IT Systems In Transition - Registration



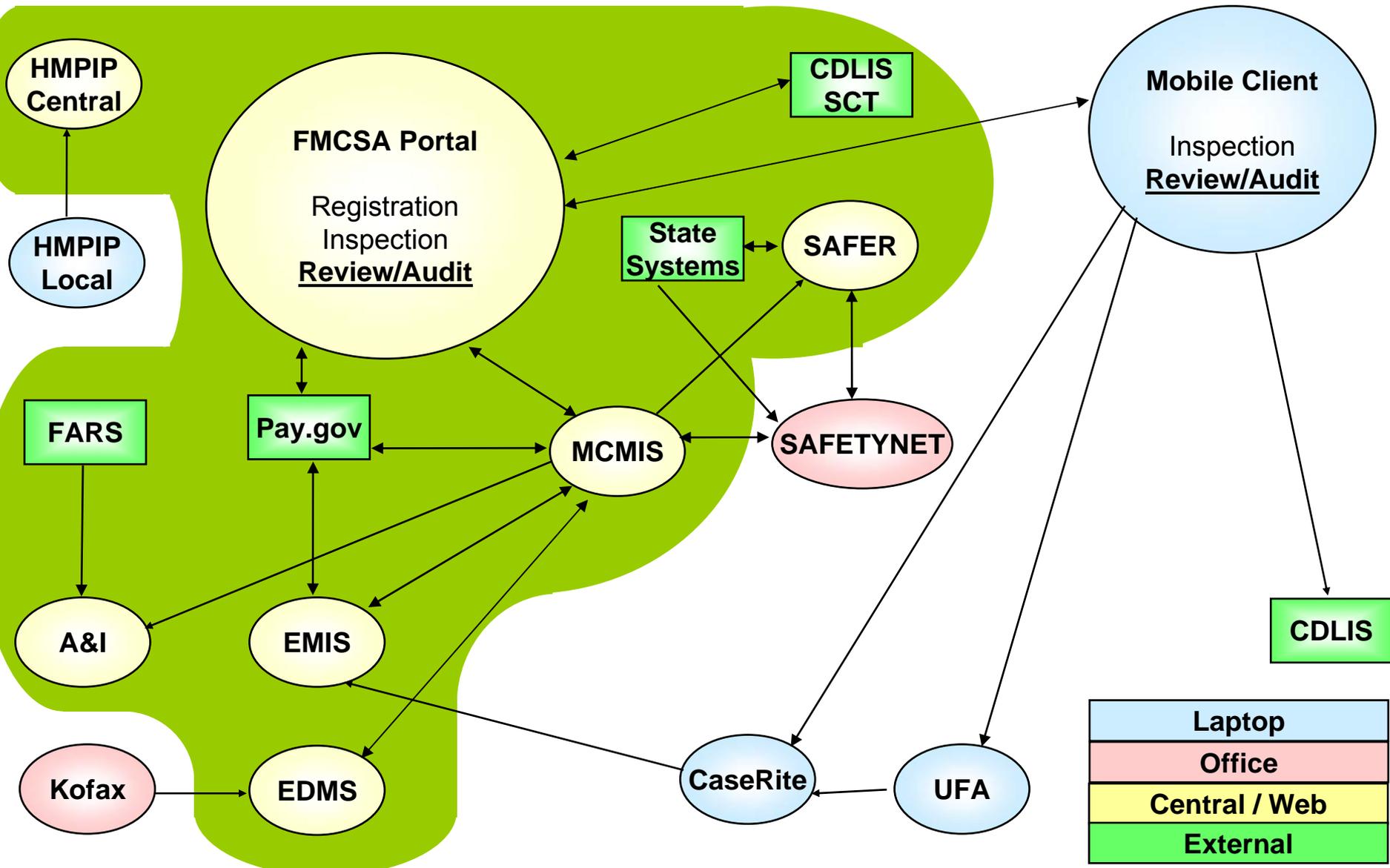
FMCSA's IT Systems In Transition - Inspection



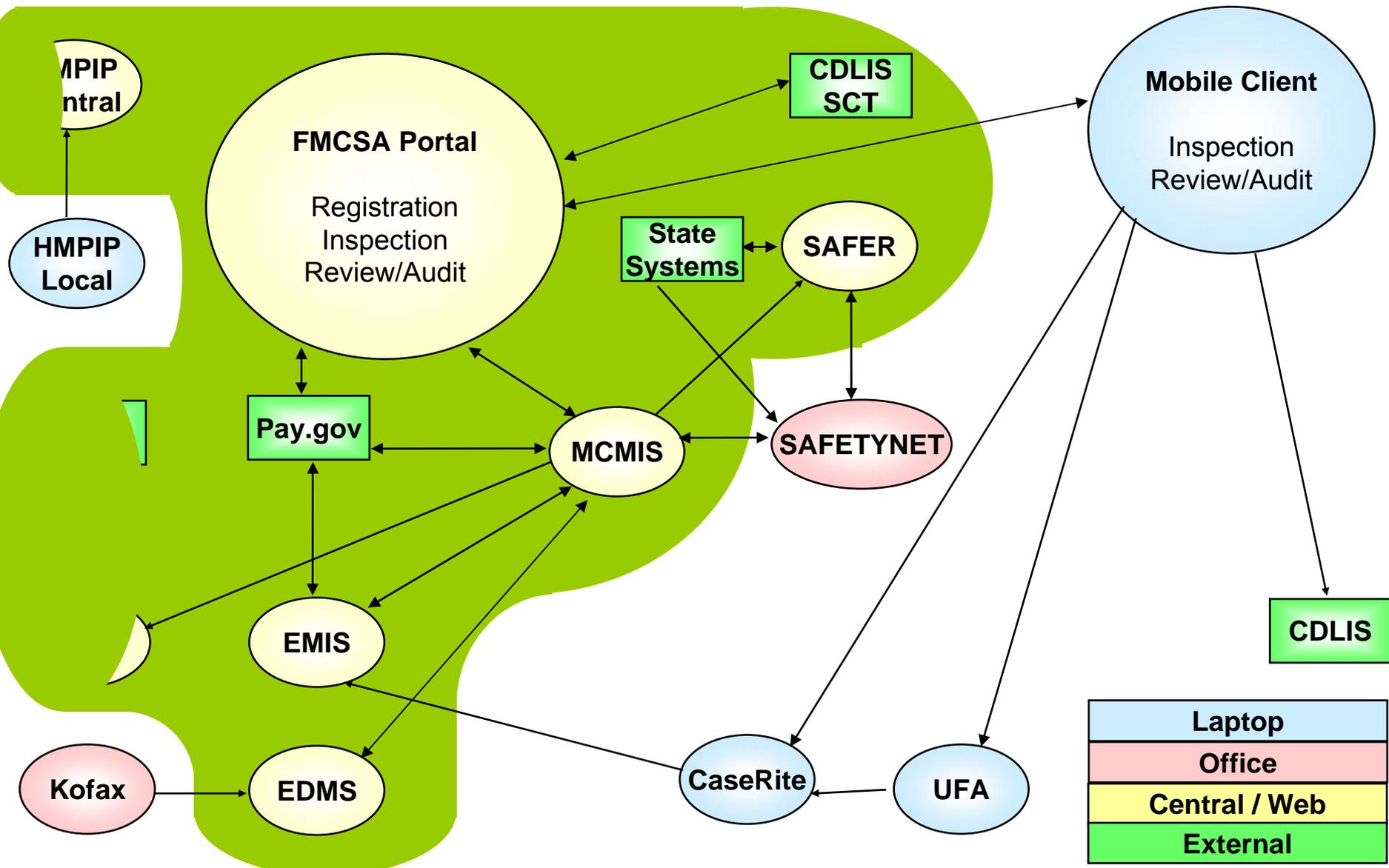
FMCSA's IT Systems In Transition - Inspection



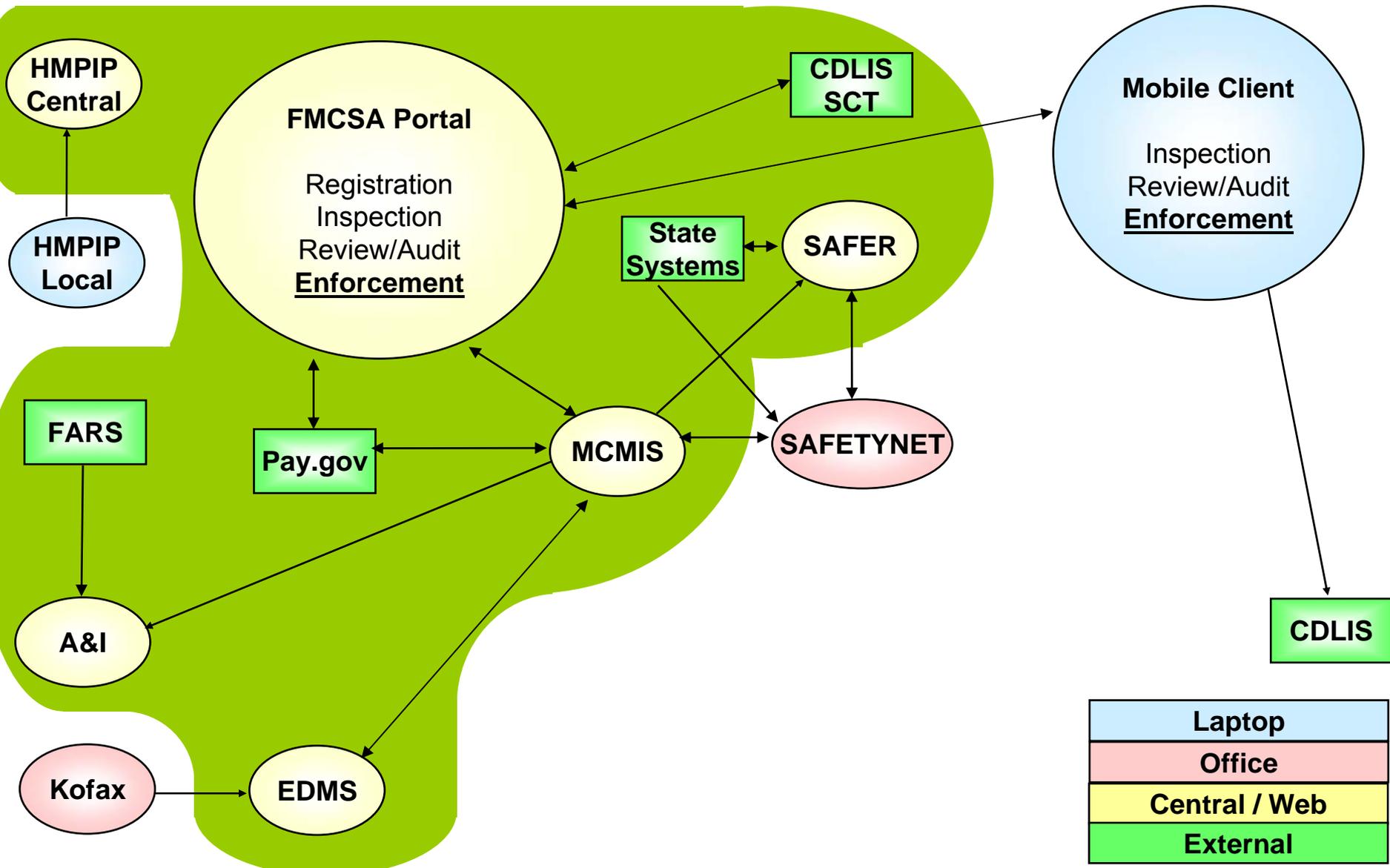
FMCSA's IT Systems In Transition – Review/Audit



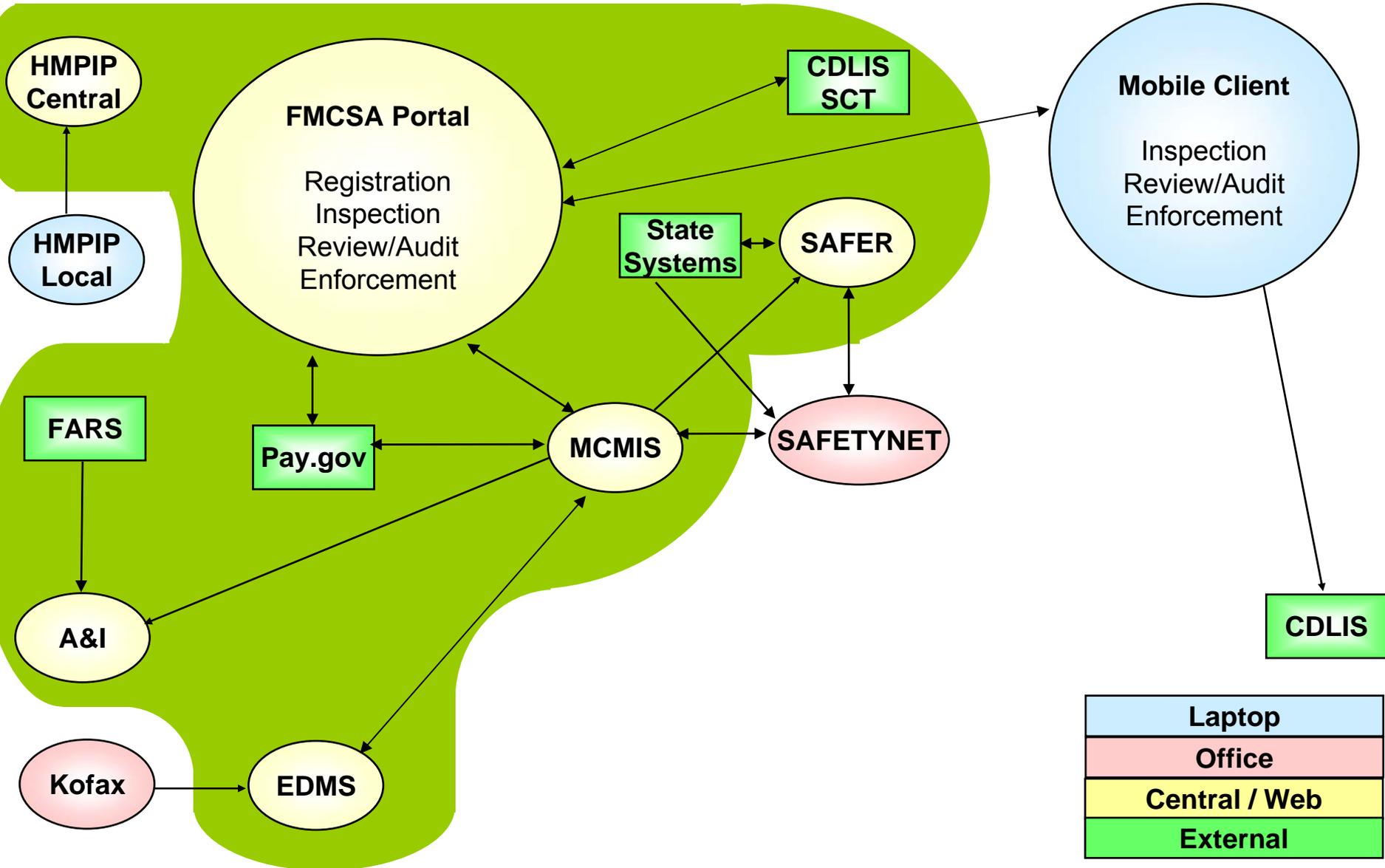
FMCSA's IT Systems In Transition - Enforcement



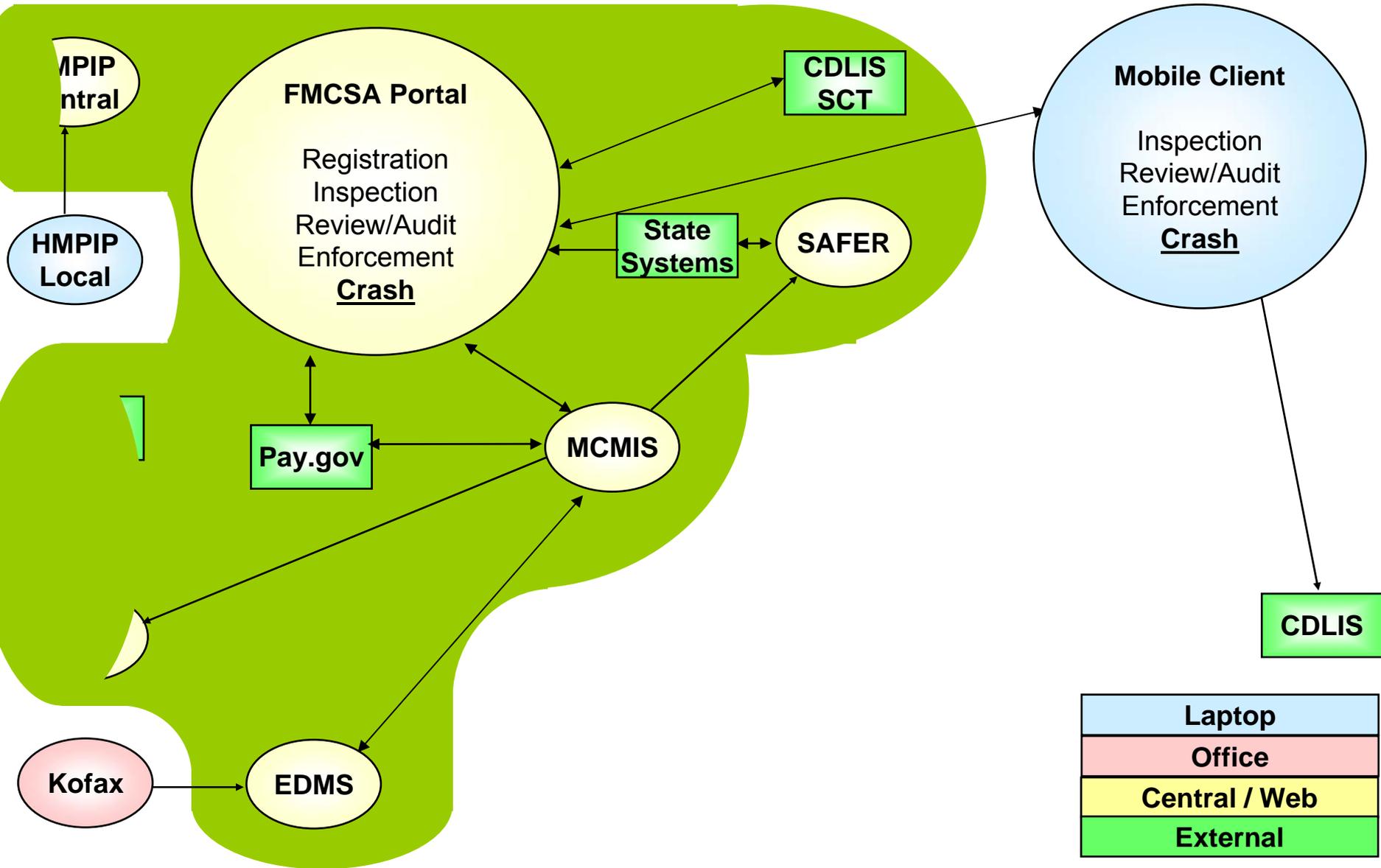
FMCSA's IT Systems In Transition - Enforcement



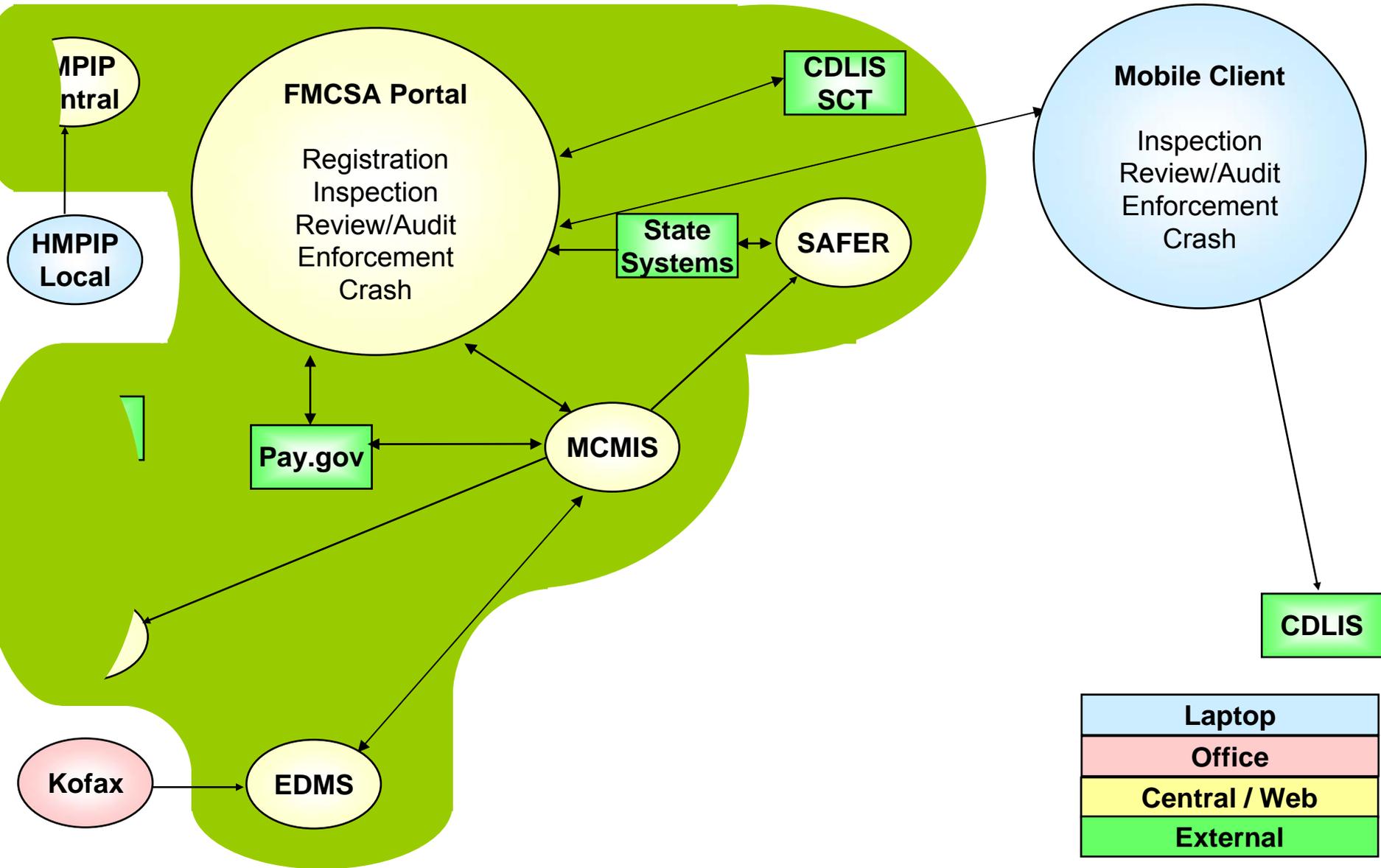
FMCSA's IT Systems In Transition - Crash



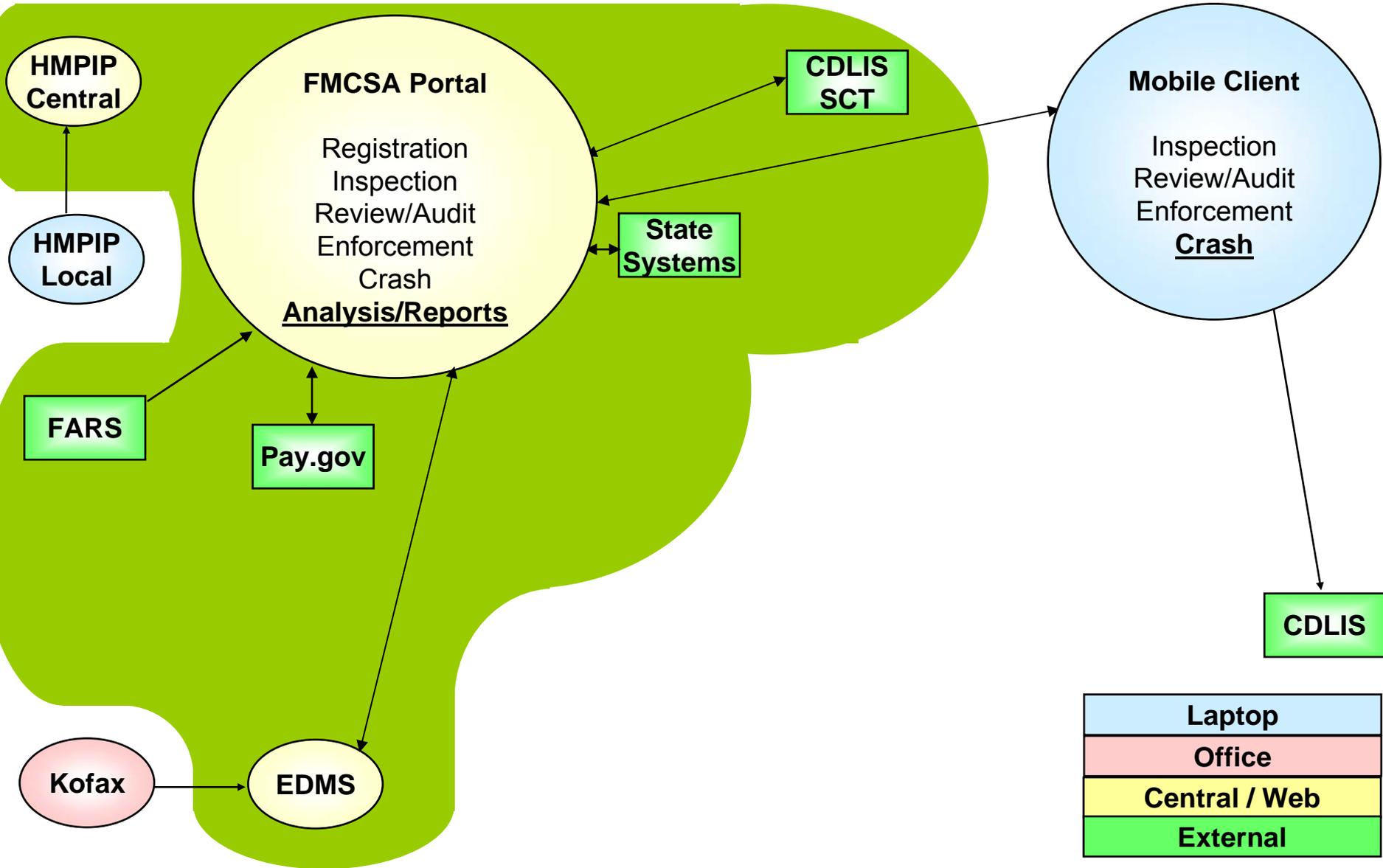
FMCSA's IT Systems In Transition - Crash



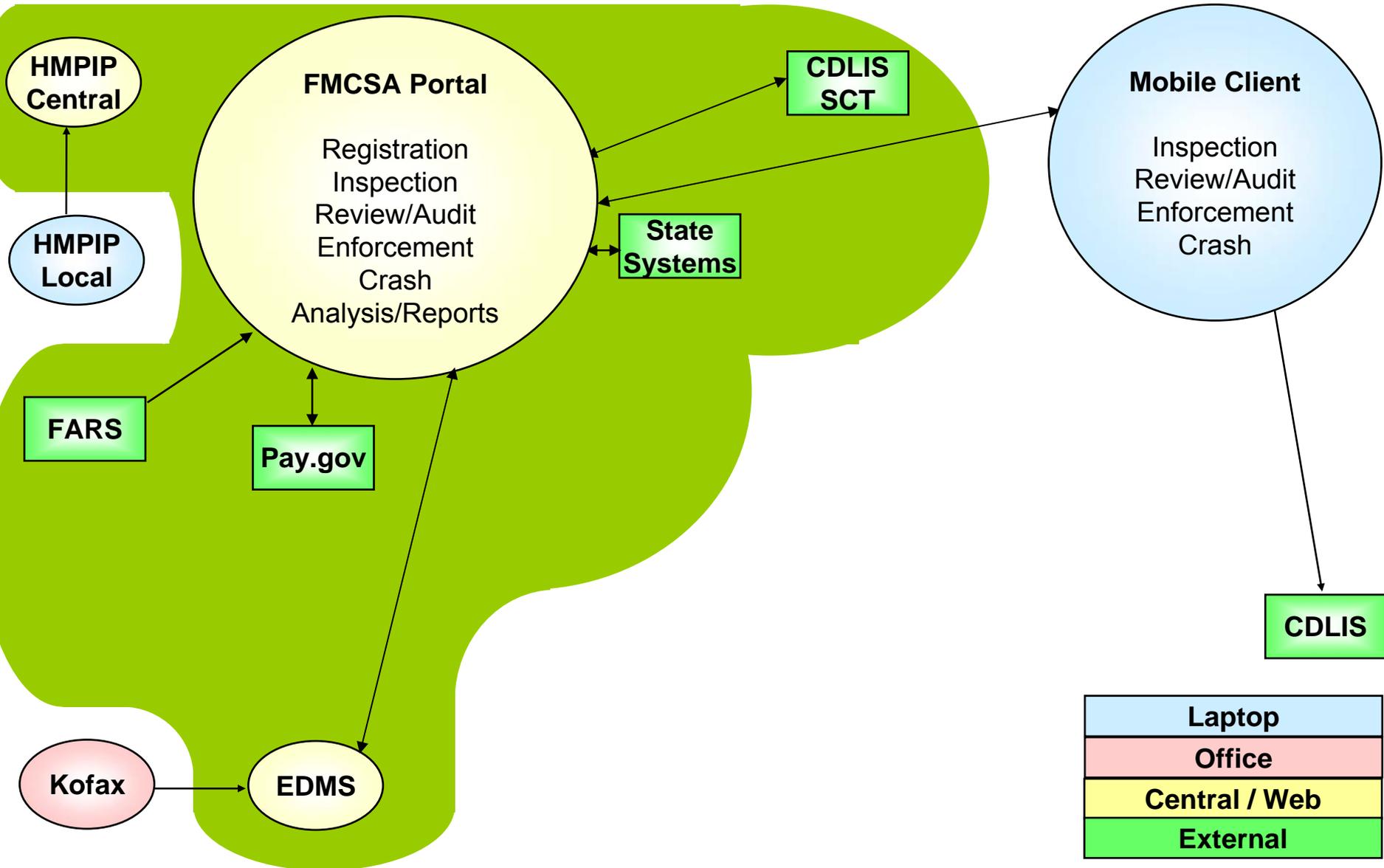
FMCSA's IT Systems In Transition - Analysis



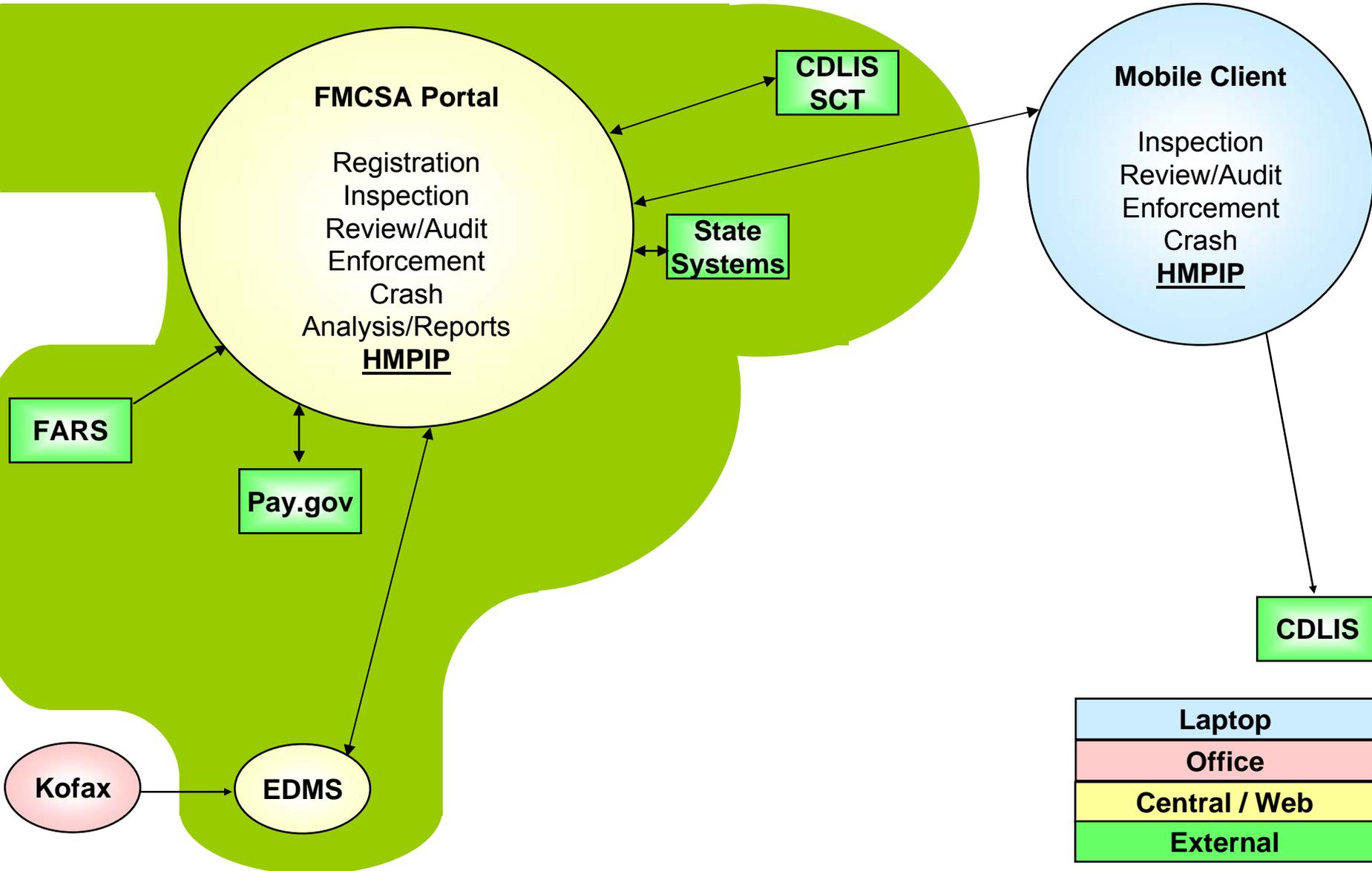
FMCSA's IT Systems In Transition - Analysis



FMCSA's IT Systems In Transition - HMPIP

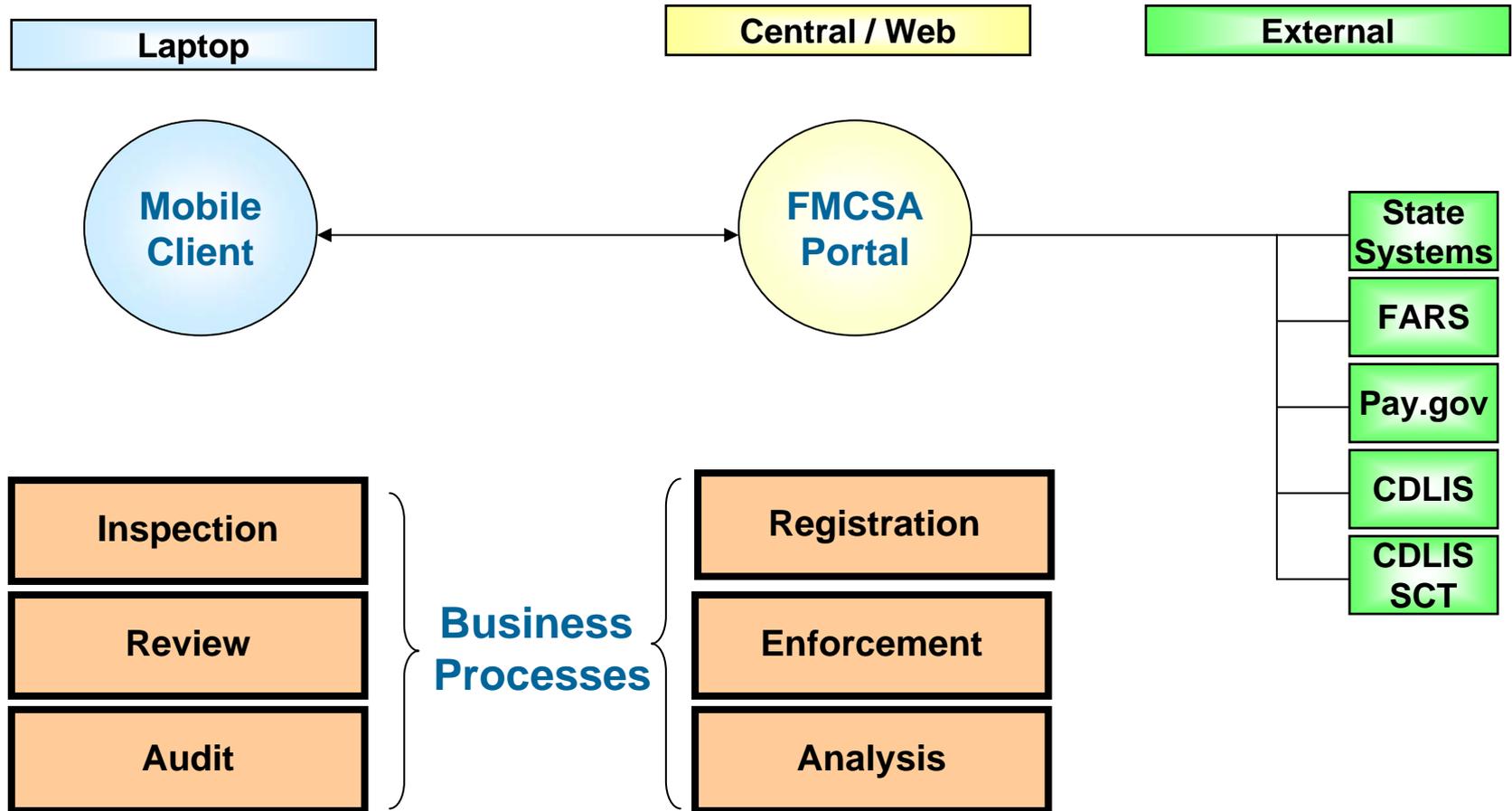


FMCSA's IT Systems In Transition - HMPIP





Long-term Vision: Improved Information Access





Expected Benefits for Enforcement and Industry

- ▶ Increased user efficiency and effectiveness by having:
 - **One location** to retrieve authoritative information that is protected from unauthorized access and alteration
 - **Timely and reliable information** for conducting roadside and border safety inspections, safety audits, and compliance reviews
 - **Automated alerts** sent to the right person for action
 - **Information “pushed out”** through a data warehouse for users to develop their own analysis reports
 - **Increased self-sufficiency** for the users (e.g. report development and analysis, online password reset, etc)

Accomplishments in 2006

- ▶ Pilot release (July 2006)
 - Successfully evaluated technology, processes, and skills
- ▶ Implemented E-authentication (Sept 2006)
 - only DOT mode to successfully launch e-authentication
- ▶ Established a solid foundation for the program:
 - Established **COMPASS change control board**
 - Established **configuration management processes**
 - Implemented a new **System Development Life Cycle**
 - Developed and implemented a new **technical architecture**
 - Evaluated, selected, and implemented new **technology**
 - Engaged and involved **stakeholders** (working groups, IT workshop)
 - Identified **requirements** for Portal Access release
 - Coordinated efforts with **CVISN, FITSG, CSA 2010, CVSA**, etc.

▶ Portal Access release (6/8/07)

- Provide authorized users with enhanced access to safety and operational data
- Provide authorized Federal, state, and contracted Enforcement users new functionality for assigning enforcement actions (e.g., reviews and safety audits)

▶ Single Sign-On

- Allow authorized users access to safety systems with one user ID and password combination

▶ Compliance Monitoring release

- Support CSA 2010 through collaboration on requirements
- Improve communication regarding carrier safety and enhance compliance monitoring processes

FMCSA Portal Access

- ▶ Why was access to the FMCSA Portal implemented first?
 - Pivotal to future development—all services will ultimately be delivered through the FMCSA Portal
 - Sets the stage for Single Sign-On later this year
- ▶ Collaborative effort
 - Led by Jeff Hall and development managed by Ed Dunne
 - SAIC as Systems Integrator
 - Strong participation from FMCSA Headquarters and Field staff, state users, and carriers
- ▶ Strong stakeholder participation
 - Field Enforcement staff reviewed user stories and process flows
 - Gathered input from FMCSA headquarters COMPASS team members
 - Conducted teleconferences with carrier representatives



Portal Access Functionality and Benefits

- ▶ Authorized Enforcement users can customize views to prioritize carriers for reviews and assign reviews and audits
- ▶ Single sign-on to MCMIS, EMIS, L&I, and DataQs through the Portal for authorized users
- ▶ Authorized Enforcement and company users can view real-time information specific to carriers including crashes, inspections, reviews, and cases closed with enforcement
 - Authorized users can now print or download Company Safety Profiles on an as-needed basis at no charge
- ▶ Beginning of a new database to provide one reliable source of authoritative information
- ▶ Expanded support of e-authentication

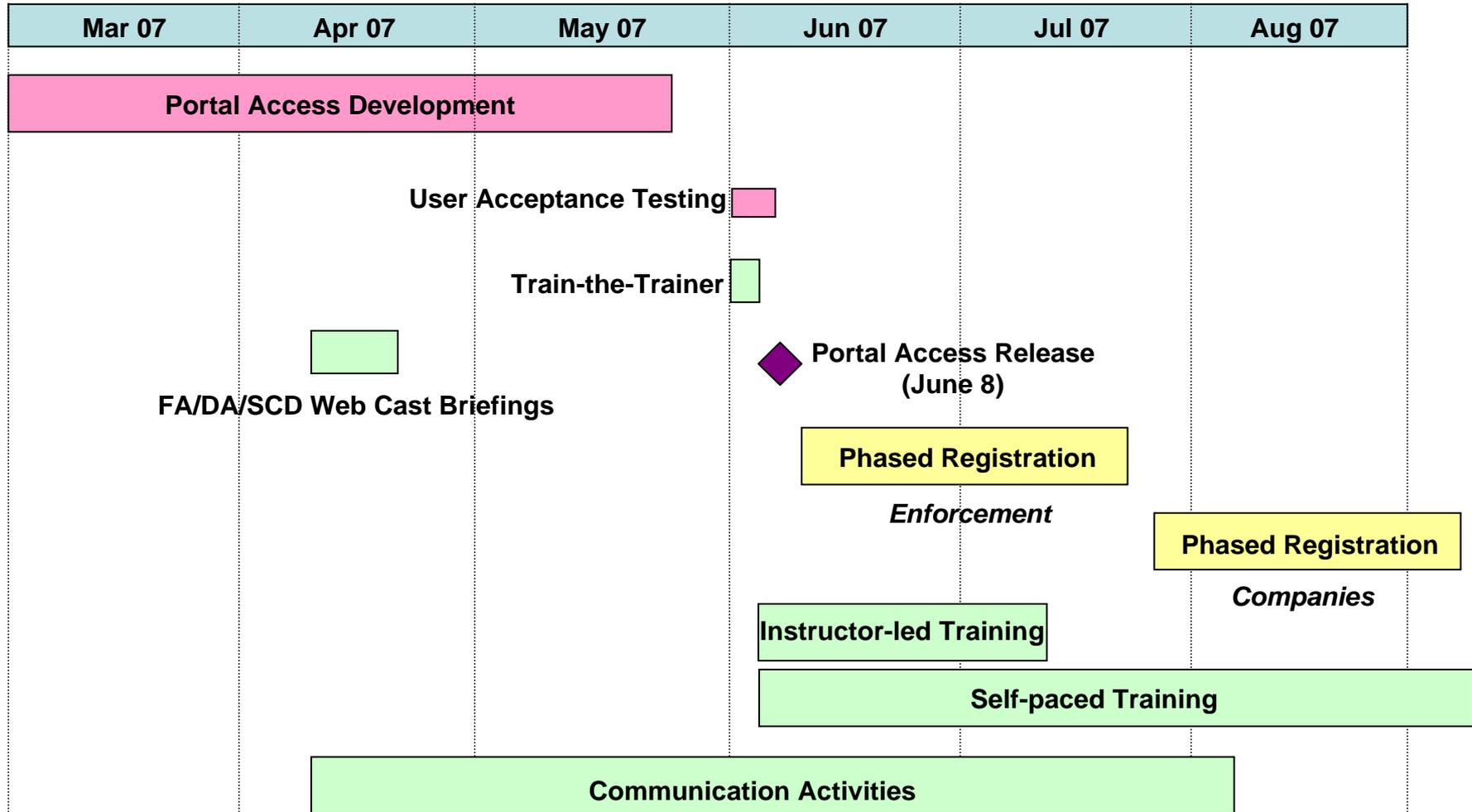
Portal Access Functionality and Benefits cont.

- ▶ Self-service account management
 - Portal account requests occur at the office level (new accounts, profile updates, additional roles, password reset and account unlock are requested online by the individual)
 - Users can go online to register for access to the FMCSA Portal, as well as register for access to MCMIS, EMIS, L&I, and DataQs through the Portal, as necessary, to perform their job functions
 - Automated password reset and account unlock
 - No paper forms or faxes (the Rules of Behavior forms are processed online)
 - Ability to select User ID and Password

- ▶ Context-sensitive online help, including access to training materials, user survey, and user feedback tools

Upcoming Release Schedule

- ▶ Access to the FMCSA Portal requires account registration by all system users
- ▶ Training is available and in many cases, required





Portal Access Roles

► Users will employ the FMCSA Portal in different ways based on their roles

- Administrative roles:

- Organization Coordinator Role—Manages access privileges for Federal/state offices and contractors

- Point of Contact Role—Recommends users from outside FMCSA and MCSAP

- Company Official Role—Manages access to their company's information

- Enforcement and company user roles:

- Assignment Role—Assigns reviews and safety audits (multiple role types)

- Enforcement Access Role—Access to MCMIS, EMIS, L&I, and DataQs for authorized users

- Company Access Role—Access to company information based on the USDOT Number and through Data Qs



The Assignment Role

- ▶ Reviews and safety audits are assigned by authorized Federal and state staff and contractors
- ▶ There are two main Assignment roles, along with the following sub-roles:
 - Assign Review (for Federal or state staff who assign Reviews)
 - Assign Review Designated to the State
 - Assign Safety Audit (for Federal or state staff who assign Safety Audits)
 - Assign Safety Audit Designated to the State (for state enforcement staff who assign Safety Audits)
 - Assign Safety Audit Designated to a Third Party (for contractors who assign Safety Audits)



Other Roles

▶ Enforcement Access Role

- Authorized users will have access to MCMIS, EMIS, L&I, and DataQs

▶ Company Official Role

- Companies will designate an “official” to administer access to company safety records, granting or blocking access as appropriate

▶ Company Access Role

- Authorized users have access to company safety data through a single interface

FMCSA Portal Login Screen

FMCSA Portal Login

Federal Motor Carrier Safety Administration

Login to Access the FMCSA Portal

User ID:

Password:

Login

Forgot your password?

- [Reset your password.](#)
- [Help with passwords.](#)

New to the portal?

- [Request an account.](#)
- [View training materials.](#)

Important Message

FMCSA System Alert - Portal Unavailable
June 20, 2006
10:00 PM EDT to 10:45 PM EDT

The FMCSA Portal will be undergoing maintenance and will not be available.

You are accessing a U.S. Government information system. The system usage may be monitored, recorded and subject to audit; unauthorized use of the system is prohibited and subject to criminal and civil penalties. Use of the system indicates consent to monitoring and recording.

For details on how we use your information, please see our [Privacy Policy](#).

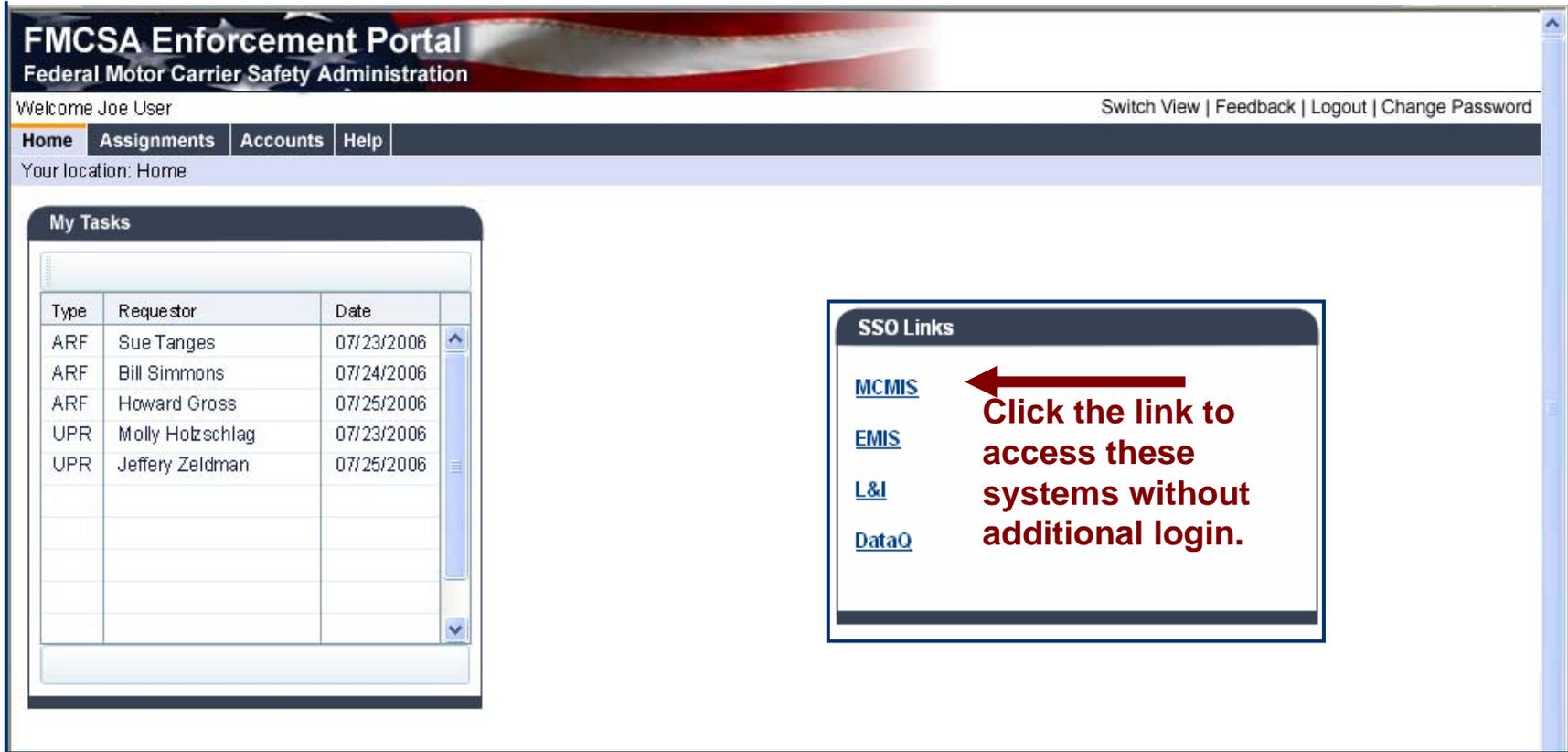
build 1.0a 07/24/2006

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400 7th Street SW, Washington, DC 20590 • 1-800-832-5660 • TTY: 1-800-877-8339

Enforcement – Home Page

- ▶ Menu Items: Home, Assignments, Accounts, Help



FMCSA Enforcement Portal
Federal Motor Carrier Safety Administration

Welcome Joe User Switch View | Feedback | Logout | Change Password

Home | Assignments | Accounts | Help

Your location: Home

My Tasks

Type	Requestor	Date	
ARF	Sue Tanges	07/23/2006	▲
ARF	Bill Simmons	07/24/2006	
ARF	Howard Gross	07/25/2006	
UPR	Molly Holzschlag	07/23/2006	
UPR	Jeffery Zeldman	07/25/2006	

SSO Links

- [MCMIS](#)
- [EMIS](#)
- [L&I](#)
- [DataQ](#)

Click the link to access these systems without additional login.

- ▶ Only OC, OC proxies, CAO, POC will see the *My Tasks* portlet

Enforcement – User Profile Management

► View and Edit General Information

MyProfile

General Info | FMCSA Portal Roles | Other Systems

Personal Information

* First Name:

* Middle Name:

* Last Name:

Your Title:

Badge/SI Number:

Your Contact Information

* EMail:

* Verify EMail:

* Contact Address is for my: Home: Work:

* Country:

* Address 1:

Address 2:

* City:

* State:

* Zip Code:

* Phone Number:

Extension:

FAX Number:

* Preferred Method of Contact:

Security Identification

* Question 1:

* Answer 1:

* Question 2:

* Answer 2:

* Question 3:

* Answer 3:

Work Information

* Your Division:

* Office Type:

* Your Organization:

Enforcement – Assignments

► Step One – Search for Companies

Step 1 - Search for Companies

Search by Prioritization List Search by USDOT # Search by Assignment Summary

Assignment Type: Safety Audit  

Prioritization List: Please Select a Value  

Current Search Criteria: New Entrant List with no options

Optional Search Criteria

Location SafeStat Company Operations Assignment

Country: Mexico  

State: Chihuahua  

Colonia: Please select a colonia  

City: 

OR **Zip Code:** 

Company – Home Page

- ▶ Menu Items: Company, Accounts, Reports, Help

FMCSA Company Portal
Federal Motor Carrier Safety Administration

USDOT # 759470 - Steven Acme

Welcome Joe User

Switch View | Feedback | Logout | Change Password

Home Company Accounts Reports Help

Your location: Home

My Alerts

A 90 Day Failure to Pay Fine Out of Service Order was issued on 01/20/2006

Your Provisional Authority was revoked on 01/30/2006 for failure to show up for a Safety Audit

My Tasks

Requestor	Date
Sue Tanges	07/23/2006
Bill Simmons	07/24/2006
Howard Gross	07/25/2006
Molly Holzschlag	07/23/2006
Jeffery Zeldman	07/25/2006

My Correspondence

From Date: 07/23/2006

To Date: 07/24/2006

Search

Correspondence	Date Sent
Form 432-00177	07/23/2006
Inspection Summary - 07/19/2006	07/24/2006

Company Activity

Summary

Crashes | Inspections | Reviews | Enforcement

Last 24 hours

Search

Crashes posted to FMCSA

Fatal	Injury	Tow	Total
1	2	1	1

Company Info

USDOT Registration

Update Company Information (MSC-150)

General | Operations | Equipment | Driver | Officials

USDOT:	759470
Entity Type:	Carrier, Cargo Tank
Legal Name:	Steven Acme
DBA Name:	ACME Transport
Email:	steven@acmetransport.com
Business Address:	230 Clay Morgan Dr, East Prairie, MO 63845
Business Phone:	(416) 889-4141
Contact Cell Phone:	(416) 881-3066
Business Fax:	(416) 889-4142
Mailing Address:	230 Clay Morgan Dr,

- Crashes
- Inspections
- Reviews
- Enforcement

- Cargo Tank Facility
- Hazardous Materials
- Operating Authority
- SafeStat Info
- Inspection Selection System (ISS) Info

FMCSA Organizational Preparation

Interactive Training

- ▶ Training is required for access to the Portal and will be available for:
 - Organization Coordinator Role—Remote, Web-based, instructor-led training will begin on June 11, 2007 and will be phased-in by Service Center area
 - Assignment Role—Remote, Web-based, instructor-led training will begin after OC training (above) and will also be phased-in by Service Center area
 - For the above two roles, two instructors will conduct each session 20-25 users online from their desktops
 - First half of session based on PowerPoint slides
 - Second half consists of instructor live in the application while projecting screen online to others
 - Q&A during and after, along with a proficiency “quiz”
- Duration of training is about two hours
- A “sandbox” will be available for trying out the system

- ▶ Self-paced, online training will be available after June 8, 2007 for the:
 - Enforcement Access Role
 - Company Official Role
 - Company Access Role
 - Organization Coordinator and Assignment Role for those who cannot attend the instructor-led training



Training Phase-in for Enforcement

- ▶ Training for Enforcement users will be phased-in by service center area (includes associated states) **starting** on these dates:
 - Eastern Service Center Area June 11
 - Southern Service Center Area June 18
 - Mid-West Service Center Area June 25
 - Western Service Center Area July 9

- ▶ Make up sessions will be held for those who cannot attend one of the scheduled sessions

- ▶ Once individuals have participated in training, they may then go online to the FMCSA Portal to register
 - Online account registration is required for the FMCSA Portal and MCMIS, EMIS, L&I, and DataQs along with relevant roles
 - FMCSA Organization Coordinators will process Portal account registration requests remotely online

Carrier and Company Training/Account Registration Phase-in

- ▶ **Self-paced training will be available and is highly recommended for companies accessing the FMCSA Portal**
- ▶ **Account registration is required for access to the FMCSA Portal**
 - **Carrier/company registration will be phased-in by region**

Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont, New York	July 23
New Jersey, Delaware, Maryland, Pennsylvania, Virginia, West Virginia	July 30
Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, Virgin Islands	August 6
Arkansas, Louisiana, New Mexico, Texas, Oklahoma, South Carolina, Tennessee	August 13
Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin, Iowa	August 20
Kansas, Missouri, Nebraska, Montana, North Dakota, South Dakota	August 27
Colorado, Utah, Wyoming, Arizona, Nevada	Sept. 10
California, Hawaii, Alaska, Idaho, Oregon, Washington (American Samoa, Guam, Northern Mariana Islands, Trust Territory of the Pacific Islands)	Sept. 17

- ▶ **Company Officials are responsible for processing Portal account registration requests and must be registered to do so**

What to Expect Next

- ▶ COMPASS and Portal Access communications, including training notifications, will be distributed to you via
 - “At Your Fingertips”
 - COMPASS e-mailbox: compass@dot.gov



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